IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| e Pa | tent Application of |) | |
|-----------------------------|--|---|-------------------------|
| Thomas Baumann et al. | | | Group Art Unit: 1733 |
| Application No.: 09/852,788 | | | Examiner: Jessica Rossi |
| Filed: N | May 11, 2001 |) | Confirmation No.: 5192 |
| | nsulation Of Stator Windings With Shrink-On Sleeves |) | |

FOURTH INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted after a first Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c), the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is enclosed.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

| | Respectfully submitted, |
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| | BURNS, DOANE, SWECKER & MATHIS, L.L.P. |
| Date <u>April 19, 2004</u> | By: Jeffrey G. Killian |
| P.O. Box 1404 | Registration No. 50,891 |

Alexandria, Virginia 22313-1404 (703) 836-6620

Substitute for form 1449A/PTO & 1449B/PTO Complete if Known **FOURTH Application Number** 09/852,788 INFORMATION DISCLOSURE Filing Date May 11, 2001 STATEMENT BY APPLICANT First Named Inventor Thomas Baumann et al. (use as many sheets as resessiv) F **Examiner Name** Jessica ROSSI Sheet **Attorney Docket Number** 033275-214

| | | 3. | U.S. PATENT DOCUMENTS | |
|----------------------|--------------------|------------|---|-------------------------------------|
| Examiner Initials | Document Number | (if known) | Name of Patentee or Applicant of Cited Document | Issue/Publication Date (MM-DD-YYYY) |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|----------|------------|---------|---------------------|-------------|---------------|
| Examiner | Document | Kind Code | Country | Date of Publication | Translation | |
| Initials | Number | (if known) | Country | (MM-DD-YYYY) | Yes | No |
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| | NON-PATENT LITERATURE DOCUMENTS |
|-------------------|--|
| Examiner Initials | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
| | H. Britsch et al., "Micadur-Compact, A Fully Impregnated Stator Insulation for High-Voltage Machine", Brown Boveri Review, September 1967, pp. 531-538. |
| | G. Neidhöfer, "The Evolution of the Synchronous Machine", Lecture presented at the International Conference on the Evolution and Modern Aspects of Synchronous Machines, SM 100', August 27-29, 1991 in Zurich and printed as ABB Review Supplement in ABB Review 1/1992, pp. 1-9. |
| | F. T. Emery et al., "Latest Advances Associated with the Insulation Systems of High Voltage Stator Coils", Conference Record of the 1996 IEEEE International Symposium on Electrical Insulation, Montreal, Quebec, Canada, June 16-19, 1996, pp. 226-229. |
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| Examiner | | Date | |
|-----------|-------------|------------|---|
| Signature | | Considered | • |
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In re Patent Application of

Thomas Baumann et al.

Application No.: 09/852,788

Filing Date:

May 11, 2001

Group Art Unit: 1733

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Title: Insulation Of Stator Windings With Shrink-On Sleeves

FOURTH INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

| Sir: | | | | | | | | |
|------|--|--|---------------------------|-------------|--|--|--|--|
| PTC | | losed is al 9 for the above-io | FOURTH dentified patent a | | Disclosure Statement and accompanying form | | | |
| | | No additional fee for submission of an IDS is required. | | | | | | |
| | X | The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed. | | | | | | |
| | | A statement under 37 C.F.R. § 1.97(e) is also enclosed. | | | | | | |
| | | A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed. | | | | | | |
| | □ | A check in the ar | mount of \$18 | 0.00 is end | o. 02-4800 for the fee due. nclosed for the fee due. PTO-2038 is attached. | | | |
| | The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate. | | | | | | | |
| | | | | Re | Respectfully submitted, | | | |
| | | | | В | BURNS, DOANE, SWECKER & MATHIS, L.L.P. | | | |
| Alex | andr | : 1404 ria, Virginia 22313 5-6620 | 3-1404 | Ву | By Allma & K | | | |
| Date | e: <u>A</u> p | oril 19, 2004 | · | | Jeffrey/S./Killian Registration No. 50,891 | | | |

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